

U.S. DEPARTMENT OF AGRICULTURE
GRAIN INSPECTION, PACKERS AND STOCKYARDS ADMINISTRATION
PACKERS AND STOCKYARDS PROGRAMS

**ANNUAL REPORT OF LIVE
POULTRY DEALERS**

OMB Control No. 0580-0015

Response is required by law to ensure compliance with the Packers and Stockyards Act, 1921, as amended and supplemented. Failure to report after notice of default will result in forfeiture to the United States the sum of \$110 for each and every day of the continuance of such failure.

According to the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. The valid OMB control number for this information collection is 0580-0015. The time requested to complete this information is estimated to average 6 hours per response, including the time to review instructions, search existing data resources, gather the data needed, and complete and review the information collection.

1. REPORT FOR YEAR ENDED

December 31, 20_____.

2. IF NOT FOR CALENDAR YEAR, INDICATE PERIOD COVERED:

RETURN COMPLETED REPORT TO APPROPRIATE REGIONAL OFFICE; SEE SEPARATE INSTRUCTIONS FOR INFORMATION.

INSTRUCTIONS: This report must be filed by any individual, partnership, corporation, or association, as determined by the Secretary, that is engaged in the business of a live poultry dealer as the term is defined in the Packers and Stockyards Act, 1921, and should be returned not later than April 15 following the calendar year end or 90 days after the close of fiscal period if business is on other than a calendar year basis. If space provided in any item not sufficient, attach additional sheets containing the information and make reference to the relevant Section and item number.

SEE ENCLOSED INSTRUCTIONS BEFORE COMPLETING THIS REPORT.

CERTIFICATION: I certify that this report has been prepared by me or under my direction, and that to the best of my knowledge and belief, said report correctly reflects the operations of the reporting poultry dealer.

3. DATE

4. TITLE

5. SIGNATURE (Owner, partner, or officer responsible if a corporation)

SECTION 1. GENERAL INFORMATION

6. NAME AND ADDRESS OF POULTRY DEALER
(Include ZIP +4 code)

7. TYPE OF ORGANIZATION (check one)

☐ INDIVIDUAL

☐ CORPORATION

☐ PARTNERSHIP

☐ OTHER (specify) _____

10. TELEPHONE NUMBER

11. Fax Number

8. IF A CORPORATION, STATE, IN WHICH
INCORPORATED

9. DATE INCORPORATED

12. E-Mail Address:

13. DOES THE REPORTING FIRM OPERATE A WEBSITE IN CONJUNCTION WITH ITS LIVESTOCK OPERATIONS?

☐ YES

☐ NO IF YES, PROVIDE DETAILS

14. DID ANY CHANGE IN ORGANIZATION TAKE
PLACE DURING THE YEAR?

☐ YES

☐ NO

15. IF YES, PROVIDE DETAILS

16. DID YOU ACQUIRE ANY PLANTS THROUGH PURCHASE,
MERGER, LEASE, ETC., DURING REPORTING PERIOD?

☐ YES

☐ NO

17. DID YOU SELL OR LEASE ANY PLANTS TO
OTHERS DURING REPORTING PERIOD?

☐ YES

☐ NO

18. ACTIVITIES ENGAGED IN:

☐ Slaughtering

☐ Producing poultry under growing
arrangement

☐ Dealing-selling poultry for slaughter

19. OWNERS, PARTNERS, OFFICERS, AND DIRECTORS

NAME	TITLE	MAILING ADDRESS (Street and no., city, state, and zip +4 code)

20. IF REPORTING COMPANY IS OWNED OR CONTROLLED BY ANY CORPORATION OR INDIVIDUAL OTHER THAN THOSE LISTED UNDER LINE 20 ABOVE, GIVE NAME AND ADDRESS OF SUCH CORPORATION OR INDIVIDUAL:

SECTION 1. GENERAL INFORMATION - Continued

21. SUBSIDIARIES AND AFFILIATED COMPANIES WHOSE OPERATIONS ARE INCLUDED IN THIS REPORT *(Include in all appropriate portions of report TOTAL operations of ALL subsidiaries and affiliated companies listed in this item.)*

NAME OF COMPANY	LOCATION (City and State)	NATURE OF BUSINESS	PERCENTAGE OF CONTROL BY REPORTING COMPANY

22. SUBSIDIARIES AND AFFILIATED COMPANIES WHOSE OPERATIONS ARE NOT INCLUDED IN THIS REPORT

NAME OF COMPANY	LOCATION (City and State)	NATURE OF BUSINESS	PERCENTAGE OF CONTROL BY REPORTING COMPANY

23. OWNERS, PARTNERS, OFFICERS, AND DIRECTORS OF REPORTING COMPANY WHO ARE PARTNERS, OFFICERS, OR DIRECTORS IN, OR OWN OR CONTROL, OTHER FIRMS ENGAGED IN SLAUGHTERING LIVESTOCK OR POULTRY; MANUFACTURING OR PREPARING MEAT, MEAT FOOD, OR POULTRY PRODUCTS; MARKETING AT WHOLESALE OR RETAIL, MEAT, MEAT FOOD, OR POULTRY PRODUCTS; OPERATING A STOCKYARD, MARKET AGENCY, OR DEALER ORGANIZATION HANDLING LIVESTOCK OR LIVE POULTRY; OR FEEDING LIVESTOCK OR POULTRY.

NAME	POSITION IN REPORTING COMPANY	POSITION AND OWNERSHIP INTEREST IN OTHER COMPANY	NAME OF OTHER COMPANY	LOCATION OF OTHER COMPANY (City and State)	NATURE OF BUSINESS OF OTHER COMPANY

REMARKS:

SECTION 2 - POULTRY OPERATIONS (Include your poultry operations and those of the subsidiaries and affiliated companies listed in line 22. Poultry includes chickens, turkeys, ducks, geese, and all other domestic fowl. Chickens include fryers, broilers, roasters, capons, hens, and roosters.)

LIVE POULTRY SLAUGHTERED FOR YOUR ACCOUNT (Show live weight):	CHICKENS		TURKEYS		OTHER	
	HEAD	LBS.	HEAD	LBS.	HEAD	LBS.
24. POULTRY PURCHASED BY YOU OR YOUR EMPLOYEES (Exclude poultry reported in lines 26 & 27 below.):						
25. POULTRY PURCHASED BY OTHERS FOR YOUR ACCOUNT (Exclude poultry reported in lines 26 & 27 below.):						
26. POULTRY RAISED BY YOU						
27. POULTRY RAISED BY YOU FOR OTHERS						
28. TOTAL SLAUGHTERED (add lines 24 through 27)						
29. WAS ANY POULTRY PURCHASED OUTSIDE THE STATE IN WHICH SLAUGHTERED? <input type="checkbox"/> YES <input type="checkbox"/> NO						
30. POULTRY RAISED (include only poultry removed from production for slaughter or sold during reporting period): LIVE POULTRY RAISED BY YOU: (Show live weight) Name and Location of Establishment						
31. TOTAL						
32. POULTRY SLAUGHTERED OR PROCESSED (Show dressed weight): a. POULTRY SLAUGHTERED OR PROCESSED IN ESTABLISHMENTS OWNED OR OPERATED BY YOU Name and Location of Establishment FSIS Establishment # Type of Inspection						
33. TOTAL						
34. TOTAL POULTRY CUSTOM SLAUGHTERED OR PROCESSED FOR YOU BY OTHERS:						
35. TOTAL POULTRY CUSTOM SLAUGHTERED OR PROCESSED BY YOU FOR OTHERS:						
36. TOTAL LIVE POULTRY SOLD:						
37. WAS ANY LIVE POULTRY SOLD OUTSIDE THE STATE IN WHICH PRODUCED? <input type="checkbox"/> YES <input type="checkbox"/> NO						

SECTION 3. FINANCIAL INFORMATION (Complete this Section as of the end of the reporting period including financial information for all subsidiaries and affiliated companies listed in line 22. *IN ADDITION, complete this Section for EACH subsidiary and affiliated company engaged in slaughtering poultry.*)

NOTE: A copy of financial statements or audit report, if available for the period covered by this report, may be furnished in lieu of the Balance Sheet and Statement of Income information below, PROVIDED information requested herein but not shown in such statements or reports is furnished under this section.

1. STATEMENT OF INCOME

A SALES:

Gross Sales Less Sales Returns and Allowances:

Live Poultry and Poultry Products (Include all edible products and byproducts).....	\$	38	
Other (Include all other sales)		39	
		NET SALES	40 \$

B COST OF SALES:

Opening Inventory.....	\$	41	
Live Poultry Purchases.....		42	
Poultry Growouts.....		43	
Other Purchases (Include poultry products).....		44	
	\$	45	
Less: Closing Inventory		46	
		TOTAL COST OF SALES	47 \$
		GROSS INCOME	48 \$

C. OPERATING EXPENSES:

Manufacturing.....	\$	49	
Advertising.....		50	
Sales Promotion.....		51	
Sales Brokerage.....		52	
Selling (other than above).....		53	
Delivery.....		54	
General and Administrative.....		55	
Depreciation and Amortization.....		56	
Interest.....		57	
Other		58	
		TOTAL OPERATING EXPENSES	59 \$
		OPERATING INCOME (LOSS)	60 \$

D. OTHER INCOME:

Interest	\$	61	
Other (Specify)		62	
		TOTAL OTHER INCOME	63 \$
		TOTAL INCOME	64 \$

E. DEDUCTIONS:

(Specify)	\$	65	
		TOTAL DEDUCTIONS	66 \$
		INCOME (LOSS) BEFORE INCOME TAXES	67 \$

F. PROVISION FOR INCOME TAXES:

Current	\$	68	
Deferred		69	
		TOTAL INCOME TAX	70 \$
		NET INCOME (LOSS)	71 \$

G. Retained Earnings/Owners' Capital at Beginning of Period

Additions to Owners' Capital -- if unincorporated (+).....		72	\$
Dividends Declared/Owners' Withdrawals (-).....	\$	74	
Other Deductions (Specify) (-).....	\$	75	
		NET ADJUSTMENT	76 \$
Retained Earnings/Owners' Capital at End of Period.....		77	\$

SECTION 3. FINANCIAL INFORMATION (continued)

1. BALANCE SHEET -- ASSETS

A CURRENT ASSETS:

Cash on Hand and in Banks.....		\$		78
(Enter overdraft on next page under Current Liabilities)				
Temporary Cash Investments (Marketable securities, certificates of deposit, commercial paper, etc.).....				79
Accounts Receivable:				
From Trade	80	\$		
From Others (Owners, officers, employees, subsidiaries, and affiliated companies).....	81			
Less Allowance for Doubtful Accounts.....	82			83
Notes and Loans Receivable (Due within 1 year):				
Due from Subsidiaries and Affiliated Companies	84	\$		
Due from Owners, Directors, Officers, Stockholders.....	85			
Due from Others.....	86			87
Inventories:				
Live Poultry (Purchases and Growout Contracts).....	88	\$		
Poultry Products.....	89			
Other Inventories.....	90			91
Advances Made on Live Poultry.....	92			
Prepaid Expenses (Rent, Insurance, etc.).....	93			
Other Current Assets.....	94			95
TOTAL CURRENT ASSETS\$				96

B INVESTMENTS:

Subsidiaries and Affiliated Companies (line 22 only).....		\$		97
Other.....				98
TOTAL INVESTMENTS\$				99

C FIXED ASSETS:

Land.....		\$		100
Buildings, Equipment, and Other Fixed Assets.....	101	\$		
Less Accumulated Depreciation and Amortization.....	102			103
NET FIXED ASSETS\$				104

D OTHER ASSETS:

Notes Receivable (Due after 1 year).....		\$		105
Goodwill, Patents, Trade-marks.....				106
Other Assets (Specify).....				107
TOTAL OTHER ASSETS\$				108
TOTAL ASSETS\$				109

SECTION 3. FINANCIAL INFORMATION (continued)

3. BALANCE SHEET - LIABILITIES AND OWNERS' EQUITY

A. CURRENT LIABILITIES:				
Bank Overdraft (Per books).....			\$	110
Accounts Payable -Poultry (Purchases and Growout Contracts)				111
Accounts Payable - Other.....				112
Notes and Loans Payable (Due within 1 year):.....				
Due to Banks, Financing and Lending Companies.....	113	\$		
Due to Subsidiaries and Affiliated Companies.....	114			
Due to Owners, Directors, Officers, Stockholders.....	115			
Due to Others.....	116			117
Accrued Liabilities.....				118
Accrued Federal and Other Income Taxes.....				119
Accrued Other Taxes.....				120
Other Current Liabilities.....				121
			TOTAL CURRENT LIABILITIES\$	122
B. LONG-TERM LIABILITIES (Due after 1 year):.....				
Due to Subsidiaries and Affiliated Companies.....				123
Due to Owners, Directors, Officers, Stockholders.....				124
Due to Others.....				125
			TOTAL LONG-TERM LIABILITIES\$	126
			TOTAL LIABILITIES \$	127
C. DEFERRED INCOME TAXES.....				128
D. OWNERS' EQUITY:				
If Incorporated:.....				
Capital Stock - Preferred and Common.....				129
Additional Paid-In Capital.....				130
Retained Earnings.....	131	\$		
Less Cost of Treasury Stock.....	132			
Total Retained Earnings.....				133
If Unincorporated:				
Owners' Capital - - Net of Withdrawals.....			\$	134
			TOTAL OWNERS' EQUITY\$	135
			TOTAL LIABILITIES & OWNERS' EQUITY\$	136

137. CONTINGENT LIABILITIES (Attach explanation)

SECTION 4 . ACCOUNTS RECEIVABLE AND/OR INVENTORY FINANCING ARRANGEMENT(S)

138. As of the end of the reporting period, were Accounts Receivable and/or Inventories, or any part thereof, pledged or assigned as collateral under a financing or loan agreement? (If answer is "YES", complete questions 139 through 148.) <div style="text-align: right;"><input type="checkbox"/> YES <input type="checkbox"/> NO</div> <i>(If the answer is YES, fully explain)</i>		139. Names and addresses of financial lending institutions having security interests:
140. Are Inventories collateralized under the agreement? <div style="text-align: right;"><input type="checkbox"/> YES <input type="checkbox"/> NO</div>		141. Are Accounts Receivable collateralized under the agreement? <div style="text-align: right;"><input type="checkbox"/> YES <input type="checkbox"/> NO</div>
142. Enter borrowing limit under the agreement: <div style="text-align: right;">\$ _____</div>		143. Enter borrowing payable as of the end of the reporting period: <div style="text-align: right;">\$ _____</div>
144. Are any Company owners, directors, officers, or stockholders named as a guarantor under the agreement? <div style="text-align: right;"><input type="checkbox"/> YES <input type="checkbox"/> NO <input type="checkbox"/> NOT APPLICABLE</div>		145. If Company is a subsidiary of another, is the Parent Company named as a guarantor under the agreement? <div style="text-align: right;"><input type="checkbox"/> YES <input type="checkbox"/> NO <input type="checkbox"/> NOT APPLICABLE</div>

Restrictions and covenants under financing or loan agreement:

146. Minimum Cash balance to be maintained on deposit. \$ _____
147. Minimum working capital ratio to be maintained (give ratio) _____
148. Others (describe) _____

149. Name and location of banks used for poultry payments (Attach list if additional space is needed):